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<u> </u>	DINITE	D STATES SE	ENAIL FIN	٧ 		LOSURE F	REPORT			
		FOR ANNU		ERN		REPORTS				
Last Name		First Name and Middle Init	itial		Annual Report		Senate Office / Agency in Wh	nich Employed		
Grassley		Charles E			Calendar Year Co	vered by Report:	US Senator for Id	owa		
Senate Office Address (Number, Street, City	, State, and ZIP Code)	Senate Office Telephone	Number (Include Area)	Code)	Termination Report		Prior Office / Agency In Whice	ch Employed		
135 Hart Senate Office Bu	ilding	202/224-3744			Termination Date	(mm/dd/yy):	NA			
AFTER READING TH	IE INSTRUCT	TIONS - ANSW	ER EACH (	OF T	HESE QUE	STIONS AN	ID ATTACH THE	RELEV	ANT P	ART
			YES NO	T					YES	NO
Did any individual or organization n paying you for a speech, appearan If Yes, Complete and Attach PART	ce, or article in the i I.	reporting period?		reim from	ibursements for ti i one source)?	e, or dependent ci ravel in the report	child receive any reportab ting period (i.e., worth mo	ele travel or ore than \$335		
Did you or your spouse have earne investment income of more than \$2 reporting period?  If Yes, Complete and Attach PART	200 from any reports	able source in the		Did than	you, your spouse \$10,000) during		hild have any reportable l	liability (more		
Did you, your spouse, or dependen more than \$1,000 at the end of the investment income of more than \$2 If Yes, Complete & Attach PART III.	period, or receive u 200 in the reporting p A and/or IIIB.	Inearned or period?		curre	ent calendar year	ortable positions of the contract of the contr	on or before the date of fi	filing in the		
Did you, your spouse, or dependen reportable asset worth more than \$ If Yes, Complete and Attach PART	1,000 in the reportir IV.	ng period?		entity	y?	ortable agreemen Attach PART IX.	nt or arrangement with an	ı outside		$\boxtimes$
Did you, your spouse, or dependent reporting period (i.e., aggregating mexempt)? If Yes, Complete and Attach PART	nore than \$335 and	eportable gift in the not otherwise		<b> \$</b> 5,0	s is your FIRST R 00 from a single s is, Complete and	source in the two	receive compensation of a prior years?	more than		
Each que	stion must b	e answered ar	nd the appr	opri	ate PART a	ttached for	each "YES" res	nonse.		
File this report and any amosenate, Washington, DC 20	endments with 510. \$200 Pen	the Secretary of alty for filing mor	the Senate, Core than 30 day	Office ys aft	e of Public Re ter due date.	cords, Room	232, Hart Senate C	Office Build		_
This Financial Disclosure Staten by the Office of the Secretary of on Ethics. Any individual who ke criminal sanctions. (See 5 U.S.C	the Senate to any nowingly and willfi	y requesting person fully falsifies, or who and 18 U.S.C. § 100	upon written ap knowingly and 11.)	policat	lion and will he i	reviewed by the is report may be	e Select Committee e subject to civil and	Do Not Wri	CRE	ONLY his Line
Certification  I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.		Signature of Repo				May (M	Month, Day, Year)	MAY 16 PM	RECEIVED. TARY OF THE SENATE	!
It is the Opinion of the reviewer that		or Official Use Only - De		This L	ine	7		မ္မာ	33	
the statements made in this form are in compliance with Title I of the Ethics in Government Act.		Signature of Revi	lewing Official			Date (M	lonth, Day, Year)	3:12	NATE	

Reporting Individual's Name 540703

Charles E. Grassley

## PART II. EARNED AND NON-INVESTMENT INCOME

Page Number

2

Report the source (name and address), type, and amount of earned income to you from any source aggregating \$200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate \$1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

### Individuals not covered by the Honoraria Ban:

For you and /or your spouse, report honoraria income received which aggregates \$200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

		Name of Income Source	Addre	ess (City, State)	Type	of Income	Amount
Exa	ample:	JP Computers	Wash., DC	Example	Salary	Example	\$15,000
		MCI (Spouse)	Arlington, VA	Example	Salary	Example	Over \$1,000
1	Chamb	pers, Conlon & Hartwell (Spouse)	Washington, DC		s	alary	Over \$1,000
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Reporting Individual's Name												-					_															Pa	ge Number
Charles E. Grassley	P	AR	l T	IIA.	.	PU	BL	.IC	LY	T	RA	DE	ΕD	AS	SS	ET:	S A	۱N	Dι	JNI	EARN	ED	IN	CC	MC	E S	SO	UF	}C[	ES		ra,	3
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly	,	•		t the If No:	lual clos ne, c		of repo	Ass rting an \$	peri	od.					-						Type an	_	mo		-	inco	ome	9					
traded asset held by you, your spouse, or your dependent child, (See p.3,	L												JL			Тур	e c	f In	cor	ne						Arr	าดน	nt c	of In	COI	me		
CONTENTS OF REPORTS Part B of Instructions) for production of Income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind	None (or less than \$1,001)	\$1,001 - \$15,000	01 - \$50,000	\$50,001 - \$100,000	001 - \$250,000	001 - \$500,000	001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000		spu		st	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	35,000,000	Actual Amount Required if "Other" Specified
trusts, and publicly traded assets of a retirement plan.	None	8.	\$15,0	\$50,0	\$100,	\$250,	\$500,	Over	\$1,00	\$5,00	\$25,0	Qver	No See	Dividends	Rent	Interest	Sapit	Excep	E 88	Qualif		None	\$201	\$1,00	\$2,50	\$5,00	\$15,0	\$50,0	\$100,	Over	\$1,00(	Over §	
S. IBM Corn (stock)	╈	+-	╁	x		$\vdash$					┝	$\vdash$	╬╌	×	H	$\vdash$	┝	H			Example		x	-			$\vdash$		H	$\vdash$	$\vdash\vdash$		Example
Example: DC, or J (S) Keystone Fund	╁	1-	╁┈	П	×	Н				$\vdash$	一	H	╟	+	╁	H	┢	X	+	$\vdash$	Example	×	H	H		$\vdash$	⊢	$\vdash$	H	-	Н	$\vdash \vdash$	Example
Raymond James Bank Program-	T	ŀ						_					╟	1		<b> </b>															М		Zampie
2 RJ Bank Deposit Program		×														x						x					-						
Alliance Bernstein Mun Inc. Nat'l. Fund		x																х					х						П		П		
Baron Growth Fund N/L		x																х				х							П		П		
5 Columbia Acorn International Fund- CL 2		х																x				x									П		
6 Columbia Marsico Focused Equities Fund-Class A	Τ	х																х				x											
7 DWS RREEF Global Real Estate Fund- Class A M/F		х																X				x											
8 Davis NY Venture Fund IncClass A		Х																X				х						·				寸	
9 Eagle Small Cap Core Value-Class I N/L		х																Х				х	1									$\exists$	
10 Eagle Large Cap Core - Class I N/L		X.																X				x							$\sqcap$				
EVENIDION TEST (see instructions before modified to the																													_		_	_	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Charles E. Grassley	P	AR	T II	IIA.	. 1	PU	BL	.IC	LY	TF	AS	DE	:D	AS	SE	ETS	5 A	NE	ว บ	NE	EARN	ED	IN	CC	M	ĖS	30	UR	lCE	ΞS		Paç	ge Number
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources			At	t the	luat close	tion e of	repo	<b>Ass</b> rting	perio	od.				lt.	'Non	e (or	less	s tha	n \$2(	<b>01)"</b> i	Type an	i <b>d A</b> ed, no	.mo	er en	of I	s nee	eded	in B	llock	C ft	or tha	at iter	m. This
Report the complete name of each publicly traded asset held by you, your spouse, or			i		ne, o heck					1,			L				ind	ciude	es inc	come	e received	or a	ccru	ed to	the	bene	efit o	f the	indi	widu.	at.		
your dependent child, (See p.3, CONTENTS OF REPORTS Part B of	H	Т	Т	Τ	Τ	Τ	$\overline{}$	Γ	Т	Γ-		$\vdash$	╟	Т	$\overline{}$	Typ	e o	Line	con	16		╀	_	_	_	Am	IOU	nt o	of In	COI	me T	_	
Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)	I			х			П				コ		H	х		П		П			Example	H	X						H	Г	H	$\vdash$	Example
or j (S) Keystone Fund	$oldsymbol{\mathbb{L}}$				Х													X			Example	х				$\Box$			$\square$	$\vdash$		$\Box$	Example
1 Eaton Vance Large Cap Value Fund- Class A M/F		х																х				×										$\prod$	
EuroPacific Growth Fund Class F1 N/L		х														$\prod$		x				х		П							$\prod$	$\sqcap$	
Harbor International Growth Fund- Institutional Class N/L		х														П		х		٦		x											
Hartford Mid Cap Fund-Class A M/F		х																х				x											
MFS Research Fund-Class A M/F		x.												П				x		1		x		$\exists$		$\neg$							
Munder International Small Mid Cap- Class Y		х									7							x	$\Box$	7		x				$\neg$	$\exists$	1	$\exists$				
Neuberger & Berman Genesis Fund- Investor Class N/L		х		T			$\exists$	T		T	7			$\Box$		$\Box$	$\neg$	x	$\exists$	1		х	7	寸	7	寸	T	ヿ	寸			一	-
Nuveen High Yield Municipal Bond Fund Class I N/L		х				$\prod$	$\exists$	T	$\exists$						$\exists$	T	$\exists$	x	$\exists$				x	T	1	T	$\neg$	T	$\exists$				
Perkins Mid Cap Value Fund-Class A M/F-Janus		Х	П	$\top$	T	$\Box$		T	T	T	7	7				1	T	x	ヿ	丁		x	丁	T	$\exists$	T	$\exists$	$\exists$	T	$\exists$	T	7	
Pimco Commodity Real Return Strategy- Class A		х			T	$\exists$	$\top$	$\top$	$\top$	T	丁		T	一	7	寸	1	x	丁	$\top$		丁	x	7	寸	$\neg$	寸	ヿ	$\forall$	一	ヿ	十	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

Charles E. Grassley	P	AR	XT	IA.	. 1	PU	BL	.IC	LY	TF	RA	DE	ΞD	AS	SI	ET	S A	NI	ט ט	INE	EARN	ED	IN	CC	M	E S	so	UF	CE	ES		Pa	ge Number
BLOCK A  identity of Publicly Traded Assets And Unearned Income Sources  Report the complete name of each public				the f No	luat close ne, o	tion e of e	repoi	Ass nting an \$1	perio	od.				if '	· 'Non	ne (oı	r less	tha	n \$20	<b>)1)"</b> i	Type an	d A	othe	unt er en	of I	s nea	eded	in B	lock indi	C fo	r tha	it iten	
traded asset held by you, your spouse, or your dependent child, (See p.3,	Ĺ			Cr	neck	the	first c	colur	nn.							Typ		f In			<del></del>	Г	-					_	f In			-	
CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1 001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)	Ţ	L		X										х							Example	•	X										Example
or J (S) Keystone Fund	+	-		4	X			_		4		Ц	L	_				×		_	Example	X			_								Example
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9 Baron Growth Fund N/L	Π		x	T	Ī		T									$\exists$	$\exists$	x				x	$\top$	1	1	1	$\dashv$	7	$\top$	7		$\top$	
10 Columbia Acorn International-CI Z N/L		Х		$\top$	丁	丁	寸	$\dashv$	十	十	寸			7	寸	寸	一	$\mathbf{x}$	$\dashv$	$\top$		十	$\mathbf{x}^{\dagger}$	7	十	7	-+	$\dashv$	$\dashv$	十	$\dashv$	$\dashv$	

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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly			At	At the d	iluati close	tion se of r or les	repor	Ass orting on \$1	peris 31,001	riod.				lf	"No	ine (c	or les	ss the	an \$2 ii set	201)*	Type and is Checked ne received	nd Ai	o othe	unt er ent	of I	s nee	eded	in B!	ilock	. C fc ividu	or the	at ite:	m. This
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CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	+-+	+	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund .	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	121	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)	1	⊥'	<u>_</u> ′	X	┿	$\square'$	$\square$	$ar{oxed}$	Ľ'		Ĺ.	$\int \int f^{\prime}$	1	х	$\perp$		$\perp$	L	$\perp$	$\prod$	Example	1	X	$\Box$				$\square$		$\Box'$	$\Box'$	$\Box'$	Example
or J (S) Keystone Fund	4	<b></b> '	╨	$oldsymbol{\perp}$	<del>ا</del> ٽا	4	$\Box$		<b>—</b> '	igspace	←'	1	1	┿	1	4	4	X	1	<b></b> '	Exemple	X	$\Box$		لله	لسل	لــا	لنة	$\Box$	$\perp \!\!\!\! \perp'$	igspace'	igspace	Example
Columbia Marsico Focused Equities Fund - Class A M/F		<u> </u>	X	$\coprod$	Ш		$\sqcup$	$\sqcup$	$\perp'$		$\perp'$	$\coprod'$	1	$\perp$	$\perp$	$\perp$		×		'	<u>                                     </u>	X	Ш	Ц	Ц	$\square$	$\sqcup$	Ш		$\perp'$	$\bot'$		
2 Davis NY Venture Fund, IncClass A		<u> </u>	X								<u>_</u> '							X	L	<u>L</u> '			x										
3 DWS RREEF Global Real Estate Fund- Class A M/F			x									$\bigcup'$						х						x									
Eaton Vance LargeCap Value Fund Class A M/F			x															х					x										
5 Euro Pacific Growth Fund-Class F-1 N/L			x									$\bigcup'$						х					x										
6 Harbor Fund International Growth Fund- Institutional Class N/L			х														[	X					х										
7 Hartford MidCap Fund Class A M/F	$\prod'$		x															х				х											
8 JP Morgan Core Bond Fund-Class A M/F			x															х						х			$\int$	$\int$					
9 MFS Research Fund-Class A M/F			x															х				х											
10 Munder International Small Cap-Class Y		х																х				x											
EXEMPTION TEST (see instructions before marking box): *** This category applies only if the asset is/was held indep	If you sende	emiti	ted a	ny as	iset b use c	pecai or de	use it pend	mee ent c	ats the	e thr	ee-p e as	art te set is	ast f s/w?	or exc is eith	amp' ter h	tion d	lescr	ribed a filer	in the	e inst intly i	ructions, pl	ease ie oth	chec	:k bo: itego	x to f	he ri	ght. lue, i	as ap	prop	riate	; <b>.</b>		

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BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each publicly traded asset held by you, your spouse, or			A	t the	luat	tion e of a	repo ss tha	Ass rting an S	peri	od.				if ·	_	e (or	in	clude	es in	01)* com	Type an is Checke e received	d A	mo oth	er en	of itry is the	s nee bene	eded	in B f the	indi	vidua	al.	it ite:	m. This
your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period, and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	St.	Sains	sstment Fund		Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500					000		\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)  Cor J (S) Keystone Fund	╀	⊬	╀	X	x	$\sqcup$	Н	Н		_		Н	⊩	X				X	<u> </u>	<u> </u>	Example Example	X	X				$\square$		$\square$				Example
Neuberger & Berman Equity Fund	十		x	Н	Ĥ			H				Н	H	H			-	X			Example	x	_			$\dashv$	┪	$\dashv$	$\dashv$	Н		H	Example
Genesis Fund N/L Perkins MidCap Value Fund-Class A M/F-Janus	T	-	X		П		H						-				_	X				X			_		$\dashv$	$\dashv$	$\dashv$		Н		
Pimco Commodity Real Return Strategy Fund-Class A M/F		Γ	×											П				х						х			7	寸					
4 Pimco Total Return Fund-Class A M/F			x										П	П				х					x				7	7	7				
5 Prudential High Yield Fund Class Z N/L		X																X						x		ヿ	$\exists$	7		$\Box$			-
T. Rowe Price International Bond Fund- Advisor Class N/L		х																X					x			$\Box$	7	7	1				:
7 Thornburg Value Fund-Class I			x															x				x					$\exists$	T	一		$\Box$		•
8 Wells Fargo International Value Fund- ADM Class			х															x					x			7		7	7		┪	$\dashv$	
9													$\prod$													7	丁	7		7			
10									T							$\Box$						$\dashv$				$\top$	T	T	$\top$	丁	$\neg$	丁	
EXEMPTION TEST (see instructions before marking box): I *** This category applies only if the asset is/was held indepe	f you ender	omiti	ted an	ny as	iset b	eca:	use it sendr	mee	ets th	e thre	ee-pa	ert te	st fo	r exe	mpti	on de	escri	bed in	n the	instr	uctions, pl	ease e oth	chec	k box	to't	he riç	jht. ue. a	S apı	oroni	riate.	يابس	ملسم	

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Charles E. Grassley	P	AR	(T }}	IIA.	. F	יטי	BL	.IC	LY	TF	RΣ	DE	ΞD	AS	SS	ET:	S	N	Dι	JN	EARN	ED	IN	CC	)M	E {	50	UF	≀Ci	ES		Pa	ge Number
BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources					8 luati close	ion		Ass						ıı,	"Nor	ne (o	r les	s tha	n \$2		Type an	d A	mo		of				lock	C fo	- tha	i iter	m This
Report the complete name of each public traded asset held by you, your spouse, or	y			lf Nor	ne, or heck t	r les:	s tha	an Š1	1,001				ŀ				in	clud	es in	com	e received	or a	ccru	ed to	the	bene	efit o	of the	indi:	vidu	ai.	i iie.	IL THIS
your dependent child, (See p.3, CONTENTS OF REPORTS Part B of Instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.	than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends		Type	Capital Gains	sstment Fund		Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000		000		000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
S, IBM Corp. (stock)	I	oxdot	$\square$	X	$\Box$									X							Example		X										Example
or J (S) Keystone Fund	+	十	$\vdash$	igwdap	X	4	$\dashv$	긕	_	$\dashv$		Н	_	┼—	<u> </u>			Х			Example	X						Ш					Example
1 IRA-Barbara	丄	$oldsymbol{ol}}}}}}}}}}}}}}}}}}$	Ш			$\dashv$	$\bot$	$\sqcup$	_				L																				
3 RJ Bank Deposit Program	X					$oldsymbol{\perp}$										x					IRA Distri-	X.											\$1,105
Invesco Charter Fund-Class A-Formerly Aim Charter Fund Class A		X																х			bution	х					П	П	一		T		
TransAmerica Flexible Income Fund		x								1			П					х				П	x					1	$\exists$			$\exists$	
5 Black Rock Dividend Achievers		Х		$\exists$	$\top$	Т	T	$\exists$	7	$\exists$	$\Box$	$\neg$	П	П				х					x			$\exists$			ヿ	$\exists$	1	寸	
6 New York Life Insurance		х				T	$\top$		T				П	x		П							x		$\exists$	-	$\exists$		┪	ヿ	寸	$\dashv$	
7 New York Life Insurance		х	П	T	$\top$		T	T		T			П	x		П							x		寸	丁	$\exists$	$\exists$	$\dashv$	7	寸	T	
8 Ohio National Life Insurance		х				T	T	T	$\top$	7			П	х		П							x		寸	ヿ	寸	寸	寸	7	1	寸	
9 Ohio National Life Insurance		х		T	$\top$	T	$\top$	7	T	7	7		П	x	$\neg$							$\neg$	x		丁	$\top$	寸	T	7	7	寸	$\top$	
10						T	T			T	T				$\exists$							$\exists$			7	$\top$	7	7	$\top$	$\top$	1	寸	
EXEMPTION TEST (see instructions before marking box) *** This category applies only if the asset is/was held inde	: If you :pender	omiti ntiy b	led an y the s	y as: spoul	set be se or	depa	se it r ender	meel nt ch	is the	thre	e-pa	art te: et is/	st fo	r exe eithe	mpti er he	on de ld by	escri the	bed ir filer o	n the Ir join	Instr	ructions, pli eld, use the	ease e oth	chec er cal	k bo tegor	x to ti	he riç ıf val	ght. ue, a	s ap	propr	iate.		<del></del>	

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ı	Charles E. Grassley	F	ĄF	RT I	IIIA	۱. ا	PU	BL	.IC	LY	TF	RA	DE	Đ	AS	SI	ETS	S A	ANI	ם נ	JNE	EARN	ED	IN	CC	M	ES	<b>SO</b> !	UR	CE	ES		Pag	9
F	BLOCK A Identity of Publicly Traded Assets And Unearned Income Sources Report the complete name of each public	cly			kt the	luate close one, of the ck	tion e of or les	repoi	Ass rting an \$	peri: 1,00°	od.		•		lf ·	'Nor	ie (oi	r les: in	s tha	n \$2 es in	01)"	<b>Type an</b> is Checke e received	<b>d A</b> d, no	nno:	er en	<b>of I</b> try is	nee	eded	in 8	lock Indi	C fo	ir tha	t iten	n. This
	raded asset held by you, your spouse, c our dependent child, (See p.3,	r								*****	_						Typ	e c	of In	cor	ne		]				Am	oui	nt o	f In	COL	ne		
I in I in it in the att	CONTENTS OF REPORTS Part B of instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned" income during the reporting period. Include on this PART IIIA a complete dentification of each public bond, mutual and, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind rusts, and publicly traded assets of a etirement plan.	thon 64 004)	\$1.001 - \$15.000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000		-		000		0,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
F	xample: DC, IBM Corp. (stock)				x										X							Example		х				$\Box$		$\Box$		$\sqcap$		Example
	or J (S) Keystone Fund		$\mathbb{L}$			X													Х			Example	X								$\neg$	$\Box$	$\neg$	Example
1	ING 401k-Barbara																													П			П	
ځ	ING Fixed Account				x														X						x				$\Box$	$\Box$				
3	ING Index Plus Large Cap Growth Por	i-I				X													x								x				7		$\exists$	
\$	ING Fidelity VIP Contrafund Port. Srv.					x													Х									x		$\Box$			$\Box$	-
3	Pioneer Equity Income Fund - R			x															х								X	$\exists$	$\neg$	$\exists$	7		$\exists$	
5	Allianz NFJ Dividend Value Fund-Inst			·	Х														x								x		$\exists$	$\neg$		$\exists$	$\top$	
<u>5</u>	American Funds Growth Fund-R3		х																x						x			$\exists$	$\exists$	T	7	T	T	
85	Columbia Mid Cap Value Fund A			х															X			·					x		T	$\exists$	7	$\exists$		
<u></u>	The American Funds Group-American Mutual Fund 03			x															х					x					_		$\top$	丁	ightharpoonup	
10	•																										T	T	T		7	T		
EX	EMPTION TEST (see instructions before marking bo This category applies only if the asset is/was held in	x): If yo	u omi ently l	tted a	ny as	sset t	ecau r dep	use it	mee	ts th	e thro	e-pa	art te et is/	st fo	r exe	mpti er he	en de id by	escri the	bed i	n the	instr	uctions, pla	ease e oth	chec	k box	to ties o	he riç	jht.	s ani	10010	iale.			

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F	Reporting (kdwiddad) Winter 54 4 1							_					•					_							,								Pa	ge Number
6	Charles E. Grassley	P.	AR ·	ET I	IIA	·	PU	BL	.IC	LY	TF	RA	DE	D	AS	SI	ET:	S <i>A</i>	M	) נ	JNI	EARN	ED	IN	CC	M	ES	30	UR	\CE	ΞS			10
ti y C	BLOCK.A  Identity of Publicly Traded Assets And Unearned Income Sources  Report the complete name of each publicly raded asset held by you, your spouse, or your dependent child, (See p.3, CONTENTS OF REPORTS Part B of instructions) for production of income or investment which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or (2) generated over \$200 in "unearned"			^	t the	clos one, d heck	tion e of or les	repo	Ass rting an \$	peri 1,00 nn.	od.	000			If -	_	Тур	in	clude	cor	01)* com	Type an is Checke received	d A d, no or a	<b>mo</b> othe	er en	of i	s nee bene	eded efit o	in B	ilock Indiv	vidu	al. me		n. This  Actual  Amount
ic fi e a tr	income during the reporting period. Include on this PART IIIA a complete dentification of each public bond, mutual und, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind rusts, and publicly traded assets of a etirement plan.	None (or less than \$1,001)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	stment	Excepted Trust	Qualified Blind Trust	(Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Required if "Other" Specified
E	xample: DC, IBM Corp. (stock)				х										X							Example		X										Example
_	or J (S) Keystone Fund	<del>_</del>	┷		_	X								L			_		X			Example	X											Example
1	College Savings Iowa for Grandchildren- Managed by Vangard	$oldsymbol{\perp}$	$oldsymbol{oldsymbol{igl}}$				Ц					╝		L																				
2_	Money Market Portfolio	上		×										_					x							х								
3_	Conservative Growth Portfolio	L	Х																х						Х									
4_	Income Portfolio		x																х			•			X									•
5_	Conservative Income Portfolio	L	X									·							х				х											
<u>8</u>	Money Market Portfolio			x						j									X							X	·							
ζ	Conservative Growth Portfolio		х				Ĭ												X						x									
<u>8</u>	Income Portfolio		х																X						X						$\neg$			
3	Conservative Income Portfolio		х																x				×											
19	State Bank & Trust Company		х																X				x											
EX	EMPTION TEST (see instructions before marking box): This category applies only if the asset is/was held indep	If you sende	omit	ted a y the	ny as	sset b use o	ecau	use it ende	mee	ets th	e thro	e-pa	art te et is/	st fo	r exe	mpti er he	ion di	escri the	bed i	n the	instr	uctions, pl	ease e othe	chec er ca	k bo tego	k to t	he ric	ght. ue, a	ıs ap	prop	riate			

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

\*\*\* This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate. 6 S, Example: DC, assets held by non-public entity may be the trade or business. Publicly traded Reporting Individual's Name 5 40712 underlying asset, which is not incidental to or investment in a non-public trade or p.3, CONTENTS OF REPORTS Part B of Include the above report for each business which: your spouse, or your dependent child (See description) of each interest held by you, Report the name, address (city, state and isted on Part IIIA. instructions) for the production of income Charles E. Grassley Sec. Sec. Sec. Sec. Sec. Sec. Sec. **Assets and Unearned Income Sources** Value First Co-operative-Dike, IA-Beaver Township Farm Land-all located in Butler County, Farmers Cooperative Co. (2) generated over \$200 in "unearned" (1) had a value exceeding \$1,000 at the close of the reporting period; and/or income during the reporting period. Identity of Non-Publiciy Traded 34 & 35, Rng. 15-120 A 34, Rng. 15-104 A 15, Rng. 15-87 A 15, Rng. 15-43 A 34, Rng. 15-40 A 24, Rng. 15-72 A 16, Rng. 15-118 A Undeveloped land, Dubuque, Iowa Wash DC JP Computer, Software Design, **BLOCK A** 5 None (or less than \$1,001) PART IIIB. × × \$1,001 - \$15,000 \$15,001 - \$50,000 At the close of reporting period. if None, or less than \$1,001, × × Valuation of Assets × \$50,001 - \$100,000 Check the first column. × × × × × \$100,001 - \$250,000 NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES BLOCK B \$250,001 - \$500,000 \$500,001 - \$1,000,000 Over \$1,000,000\*\*\* \$1,000,001 - \$5,000,000 \$5,000,001 - \$25,000,000 \$25,000,001 - \$50,000,000 Over \$50,000,000 None × × **Dividends** Rent Type of Income Interest Capital Gains **Excepted Investment Fund Excepted Trust** Qualified Blind Trust Net in-Type and Amount of Income \$126, \$76, come pense bined Com-\$203, come bined Com-Example 765 Farm Example 494 Specify Other Ÿ Type ş × None (or less than \$201) BLOCK C × × \$201 - \$1,000 \$1,001 - \$2,500 \$2,501 - \$5,000 Amount of Income \$5,001 - \$15,000 \$15,001 - \$50,000 \$50,001 - \$100,000 \$100,001 - \$1,000,000 Over \$1,000,000\*\*\* \$1,000,001 - \$5,000,000 Over \$5,000,000 Page Number Specified Required Example Example Amount 二 Other, Actual

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## PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number

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#### **BLOCK A Identity of Non-Publicly Traded** Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you.

#### **BLOCK B Valuation of Assets**

At the close of reporting period. If None, or less than \$1,001, Check the first column.

### **BLOCK C** Type and Amount of Income

If "None (or less than \$201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

1	your spouse, or your dependent child (See	L	_	_	_	_	_	_		,	_	_	_	L	_		Тур	e o	f In	con	ne		L				Am	OUI	nt o	fin	con	ле		
	p.3, CONTENTS OF REPORTS Part B of instructions) for the production of income or investment in a non-public trade or business which:  (1) had a value exceeding \$1,000 at the close of the reporting period; and/or  (2) generated over \$200 in "unearned" income during the reporting period. Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be isted on Part IIIA.	None (or less than \$1,001)		\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000	None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	None (or less than \$201)	\$201 - \$1,000	\$1,001 - \$2,500	\$2,501 - \$5,000	\$5,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	Over \$5,000,000	Actual Amount Required if "Other" Specified
E	S, JP Computer, Software Design, wash DC	I			x	1									х							Example		x	•									Example
Н	or J Undeveloped land, Dubuque, Iowa	╄	ļ.,	Ļ	╄	X	Ļ	1	<u> </u>			<u> </u>		╙								Example	×						$\Box$	$\Box$	$\Box$	$\Box$	$\Box$	Example
L	Sec. 15, Rng. 15-118 A	L					X							l																				
2	Sec. 16, Rng. 15-40A				×															T									ヿ	寸	$\exists$	$\exists$		
3	20-year Contract-Sale of 2 Acre Farmstead to Robin & Diane Grassley			х													х					Proper ty Sale		х				Ì	1	7	7	7	$\exists$	Plus \$1,000
4																													7	7	1	1		Princi- pal yr.
5																													7	T		7	T	
6																										7				T	1	1	1	
7																												1	7	1	$\uparrow$	7	7	
8																								$\exists$				7	7	1	1	7	$\top$	
9					,									П				$\dashv$											7	1	7	7	$\forall$	
10														П											1	$\dashv$	7	7	1	$\top$	1	十	十	<del></del>
EX	EMPTION TEST (see instructions before marking box): If	VOIL	omilte	ad ar	יא אני	set h	ecar	rse it	mee	s the	a thre	20.02	rt to	et for	0205	netio	o dos	oribo	ad in	tha i	nctn.	ctions pla	250.5	haak	<u> </u>	<u> </u>	a rial							

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.
--- This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name

Charles E. Grassley

# PART IV. TRANSACTIONS

Page Number

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İ								_	Am	oun	t of	Tra	nsad	ctio	n (x)		
C	:hild (See p.3	archase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the		nsac Type (		·											Γ
lı ir b	other securitienclude transanvolving prop petween you,	od of any real property, stocks, bonds, commodity futures, and es when the amount of the transaction exceeded \$1,000. actions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	urchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	\$1,00	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
L		Identification of Assets	2	Sa	m		\$1.	2	\$50	\$10	\$25	\$50	Over	\$1,	\$5,	\$25	ŏ
	S, Example: DC,	IBM Corp. (stock) NYSE	Х			2/1/1X		Х			Е	X	Α	М	Р	L	E
L	or J	(DC) Microsoft (stock) NASDAQ/OTC		X		1/27/1X				X	E	X	A	M	P	L	E
1	Larry and Sa	ndra Bass; New Hartford, IA-Land Contract-paid in full	Х			4/1/10		х									
2	Charles & Ba	rbara Joint Account-Freedom															
3	Laudus Rose	nburg International Discovery Select		х		5/24/10	х										
4	Nuveen High	Yield Municipal Fund Class I N/L		х		1/5/10	х										
5 7	Munder Inter	national Small Mid Cap Class Y N/L	х			5/24/10	х										
1	Munder Interr	national Small Mid Cap Class Y N/L	Х			6/15/10	х										
3	Columbia Acc	orn TR International-Class Z	х			5/24/10	x										
8	Charles-SEP	IRA Freedom															_
9	Laudus Rose	nburg International Discovery Select		×		5/24/10		x		1		7	$\dashv$	1	$\exists$	7	
10	Goldman Sac	hs High Yield-Class A		х		9/2710	$\neg$	x		7		7	7	+	1	+	
11	Aston/RiverRe	oad Small Cap Value-Class I	×			8/6/10	x				_	$\dashv$	_	$\dashv$	+	$\dashv$	
12	Baron Asset F	Fund-Growth Fund	х			8/6/10	x	$\dashv$			7		$\dashv$	1		$\exists$	
CVC	MOTION TEST															ᆂ	

EXEMPTION TEST (see instructions before marking box): If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right.

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## PART IV. TRANSACTIONS

Paga Number

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		•		_			ŀ		Am	oun	t of	Trai	nsac	ctio	ı (x)		<del>-</del> -
10	child (See p.3	rchase, sale, or exchange by you, your spouse, or dependent CONTENTS OF REPORTS Part B of Instructions) during the		nsac ype (													
li ii b	other securitie nclude transa nvolving prop petween you,	od of any real property, stocks, bonds, commodity futures, and s when the amount of the transaction exceeded \$1,000. ctions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
L		Identification of Assets		Ś	Ш		\$1		\$5	\$1	\$2	\$5	Ó	\$1	\$5	\$2	Ó
ı	S, Example: DC,	IBM Corp. (stock) NYSE  (DC) Microsoft (stock) NASDAQ/OTC	<u> </u>	<del> </del>		2/1/0X		Х			E	Х	<b>A</b>	M	Р	ŀ	Ε
-	or J		_	X		1/27/0X				Х	Ε	Х	Α	M	Ρ	느	E
Ľ	Columbia Ad	om International Fund-Class Z	X			5/24/10	X										
2	Columbia Ac	orn International Fund-Class Z	Х			8/6/10	Х										
3	Columbia Fu	nds Ser Tr Marsico Focused Equity-Class A	х			8/6/10	Х										_
4	Davis NY Ve	nture Fund, IncClass A	х			8/6/10	х										_
5	DWS Adviso	r Fund RREEF Global Real Estate Fund-Class A M/F	х			8/6/10	х										
6	DWS Adviso	Fund RREEF Global Real Estate Fund-Class A M/F	х			12/15/10	x										_
7	Eaton Vance	Special Investment Trust-Large Cap Value Fund-Class A	х			8/6/10	X										
8	Eaton Vance	Special Investment Trust-Large Cap Value Fund-Class A	х			12/15/10	х									$\exists$	_
9	Euro Pacific	Growth Fund-Class F-1	х			8/6/10	x				1					寸	
10	Harbor Fund-	International Growth Fund-Institutional	х			8/6/10	x	$\dashv$				$\neg$		7		$\dashv$	_
11	Hartford Mutu	al Funds, IncMid CCap Fund-Class A	х			8/6/10	x				$\exists$		1		1	一	
12	JP Morgan C	ore Bond Fund-Class A	х			8/6/10	x		$\exists$	7	$\dashv$	$\neg$		7	$\dashv$	寸	_
			<del></del>						_								_

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## PART IV. TRANSACTIONS

Page Number

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ľ		•			-				Am	loun	t of	Tra	nsa	ctio	n (x)		_
10	:hild (See p.3	contents of Reports Part B of Instructions) during the	B .	nsac ype (													
ii b	other securitienclude transanvolving prop etween you,	od of any real property, stocks, bonds, commodity futures, and es when the amount of the transaction exceeded \$1,000. actions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	Purchase	Sale	Exchange	Transaction Date (Mo., Day, Yr.)	\$1,001 - \$15,000	\$15,001 - \$50,000	\$50,001 - \$100,000	\$100,001 - \$250,000	\$250,001 - \$500,000	\$500,001 - \$1,000,000	Over \$1,000,000***	\$1,000,001 - \$5,000,000	\$5,000,001 - \$25,000,000	\$25,000,001 - \$50,000,000	Over \$50,000,000
F	S,	Identification of Assets		Ø	Ш		ક્ર		\$6	8			Ó.		\$3	\$2	Ó
1	Example: DC, or J	IBM Corp. (stock) NYSE  (DC) Microsoft (stock) NASDAQ/OTC	X	X		2/1/0X		Х			E	Х	Α	M	Р	L	E
<b> </b>	1	rch Fund-Class A	X	X		1/27/0X	<b>.</b>			Х	Ε	X	Α	·M	Р	┝╧┤	E
H	<del> </del>					8/6/10	Х									Ш	-
2	Munder Intre	enational-Small Cap-Class Y	Х			8/6/10	х										
3	Neuberger 8	Berman Equity Fund-Genesis Fund	Х			8/6/10	х										_
4	Perkins Mid	Cap Value Fund-Class A M/F-Janus	х			8/6/10	х										
5	Pimco Comr	nodity Fund-Class A	х			8/6/10	х										_
6	Pimco Total	Return Fund-Class A	х			8/6/10	x										_
7	Prudential H	igh Yield Fund Class Z N/L	х			9/17/10	х		7						寸	$\exists$	
8	Prudential H	gh Yield Fund Class Z N/L	х			12/15/10	х					7	$\dashv$		$\dashv$		
9	T. Rowe Price	e International Funds-Advisor Class N/L	х			12/15/10	x	$\dashv$	1	$\dashv$	$\dashv$	7	+	$\dashv$	+	$\dashv$	<u></u>
10	Thornburg V	alue Fund-Class I	x			8/6/10	x		+	$\dashv$		$\dashv$	1		十	+	_
11	Thornburg Va	alue Fund-Class I	х			12/15/10	x	_	$\dashv$	$\neg$	7	$\dashv$	$\dashv$	1	_	$\dashv$	-
12	Wells Fargo	nternational Value Fund-Admin. Class N/L	×			12/15/10	x	$\dashv$	$\exists$	+	$\dashv$		7	$\dashv$	$\dashv$	$\dashv$	-

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## PART IV. TRANSACTIONS

Page Number

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Sample: DC   IBM Corp. (stock) NYSE   X   2/1/0X   X   E   X   A   M   P   L   E   E   E   E   E   E   E   E   E	ľ							ŀ		Am	oun	t of	Tra	nsac	ction	ı (x)		
Other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Do not report a transaction between you, your spouse, or dependent child. Please clarify which two properties are involved in any reportable exchange.    A	C	hild (See p.3	CONTENTS OF REPORTS Part B of Instructions) during the									1						
Sample: Corp.   Chock's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   Chuck's SEP   IRA Non-Freedom Account Closed 12/14/1	lr in b	ther securitie nclude transa nvolving prope etween you,	s when the amount of the transaction exceeded \$1,000. ctions that resulted in a loss. Do not report a transaction erty used solely as your personal residence, or a transaction your spouse, or dependent child. Please clarify which two involved in any reportable exchange.	urchase	ale	xchange	Date	,		, ,	100,001 - \$250,000	250,001 - \$500,000	600,001 - \$1,000,000	ver \$1,000,000***	,000,0001 - \$5,000,000	,000,001 - \$25,000,000	5,000,001 - \$50,000,000	Over \$50,000,000
Chuck's SEP IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP   RA Freedom Account Closed 12/14/10-Assets Moved to SEP   RA Freedom Account   RA	_	e			S	ш		ℹ℈	Ġ	69	Ġ	8	\$	Ó	€9	₩	\$2	Ó
1 Chuck's SEP IRA Non-Freedom Account Closed 12/14/10-Assets Moved to SEP IRA Freedom Account 2 Black Rock Divident Achievers 3 Discovery Bank CD-Matured and Redeemed 4 Transamerica Flexible Income Fund (Formerly Transamerica Convertible 5 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 7 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 8 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 9 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 1 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 2 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 3 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 4 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 5 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 7 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 8 Transamerica Flexible Income Fund (Formerly Transamerica Convertible) 9 Transa		Example: DC,		X	ļ	ļ	<del></del>		Х					Α	-	P	L	E
IRA Freedom Account					X	<u> </u>	1/27/0X				Х	E	Х	Α	M	Р	L	E
3 Discovery Bank CD-Matured and Redeemed X 6/29/10 X	1	IRA Freedon	n Account									ļ						 
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Securities   X   11/24/10   X	3	Discovery Ba	ink CD-Matured and Redeemed		х		6/29/10		х									_
6	4	Transamerica Securities)	a Flexible Income Fund (Formerly Transamerica Convertible		X		11/24/10	х						コ		寸		
7 8 9	5											j		1	ヿ	寸		_
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ĺ	Charles E. Grassley

# PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Numbe

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	N	lame of Organization		ss (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
E	xample:	National Assn. of Rock Collectors		EXAMPLE	Non-profit education	President ·	6/91	Present
		Jones & Smith	Hometown, USA	EXAMPLE	Law Firm	Partner	7/96	11 / 1X
1	Farm-	Butler County, IA	New Hartford &	Cedar Falls, IA	Family Farm Operation	Proprietor	Life	Life
2				•				
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Compensation in excess of \$200 from any position must be reported in Part II.